

# Black objects and hoop conjecture in five-dimensional space-time

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Received 25 September 2009, in final form 29 December 2009

Published 29 January 2010

Online at [stacks.iop.org/CQG/27/045012](http://stacks.iop.org/CQG/27/045012)

## Abstract

We numerically investigated the sequences of initial data of a thin spindle and a thin ring in five-dimensional space-time in the context of the cosmic censorship conjecture. We modeled the matter in non-rotating homogeneous spheroidal or toroidal configurations under the momentarily static assumption, solved the Hamiltonian constraint equation and searched the apparent horizons. We discussed when  $S^3$  (black-hole) or  $S^1 \times S^2$  (black-ring) horizons ('black objects') are formed. By monitoring the location of the maximum Kretschmann invariant, an appearance of 'naked singularity' or 'naked ring' under special situations is suggested. We also discuss the validity of the *hyper-hoop* conjecture using a minimum *area* around the object, and show that the appearance of the ring horizon does not match with this hoop.

PACS numbers: 04.20.Dw, 04.20.Ex, 04.25.dc, 04.50.Gh

(Some figures in this article are in colour only in the electronic version)

## 1. Introduction

In general relativity, there are two famous conjectures concerning the gravitational collapse. One is the cosmic censorship conjecture [1] which states that collapse driven singularities will always be clothed by an event horizon and hence can never be visible from the outside. The other is the hoop conjecture [2] which states that black holes will form when and only when a mass  $M$  gets compacted into a region whose circumference  $C$  in every direction is  $C \leq 4\pi M$ . These two conjectures have been extensively studied in various methods; among them we believe that the numerical works by Shapiro and Teukolsky [3] showed the most exciting results: (a tendency of) the appearance of a naked singularity. This was reported from the fully relativistic time evolution of collisionless particles in a highly prolate initial shape;

and the results of time evolutions are in agreement with the predictions of the sequence of their initial data [4].

In recent years, on the other hand, gravitation in higher dimensional space-time is getting a lot of attention. This is from an attempt to unify fundamental forces including gravity at TeV scale, and if so, it is suggested that small black holes might be produced at the CERN large hadron collider (LHC). The LHC experiments are expected to validate several higher dimensional gravitational models. In such an exciting situation, the theoretical interests are also in the general discussion of black-hole structures. Our discussion is one of them: in what circumstances are black holes formed?

New features of higher dimensional black holes and black objects are reported due to additional physical freedoms. The four-dimensional black holes are known to be  $S^2$  from the topological theorem. Also in the asymptotically flat and stationary space-time, four-dimensional black holes are known to be the Kerr black hole from the uniqueness theorem. On the other hand, in higher dimensional space-time, quite rich structures are available, such as a torus black hole ('black ring') with  $S^1 \times S^2$  horizon [5, 6] or black Saturn [7], black di-ring [8, 9] (see the review [10] for references). The uniqueness theorem of axisymmetric space-time in a higher dimension is known to be violated.

So far, the black-hole studies in higher dimensional space-time are mainly carried out using analytic stationary solutions. There are also many numerical attempts to seek the higher dimensional black-hole structures, e.g. collider-oriented dynamical features [11, 12], a new stationary solution sequence [13], (here we selected the works with asymptotically flat space-time). However, fully relativistic dynamical features, such as the formation processes, stabilities and late-time fate of the black objects, are left unknown. We plan to investigate such dynamical processes numerically, and this is the first report on the constructions of the sequences of initial data for time evolution.

The hoop conjecture tries to denote 'if' and 'only if' conditions for the formation of the horizon in the process of gravitational collapse. The 'only if' part of the statement would be replaced with the so-called Gibbons–Penrose isoperimetric inequality [14],  $M \geq \sqrt{A/16\pi}$ , where  $M$  is the total mass and  $A$  is the area of the trapped surface. This inequality is based on the cosmic censorship conjecture, so that its proof or disproof is the important issue (see a precise formulation in [15] and a recent review [16]).

The higher dimensional versions of the hoop conjecture and the isoperimetric inequality have been discussed so far [17, 19–21]. While there are differences in their coefficients, the hoop conjecture in  $D$ -dimensional space-time would be basically expressed as follows: a black hole with horizons form when and only when a mass  $M$  gets compacted into a region whose  $(D - 3)$ -dimensional area  $V_{D-3}$  in every direction is

$$V_{D-3} \leq G_D M, \quad (1)$$

where  $G_D$  is the gravitational constant in  $D$ -dimensional theory of gravity. Here  $V_{D-3}$  means the volume of  $(D - 3)$ -dimensional closed submanifold of a space-like hypersurface. That is, the hoop  $C$  in four-dimensional space-time is replaced with the *hyper-hoop*  $V_{D-3}$ ; if  $D = 5$ , then the *hyper-hoop* would be an area  $V_2$ . However, in five-dimensional space-time, black holes are not restricted to have a simply connected horizon; therefore, the applicabilities of the hyper-hoop and the isoperimetric inequality to various black objects are left unknown. The validity of (1) was investigated in several idealized models by Ida and Nakao [17] and Yoo *et al* [18], who solved momentarily static, conformally flat, five-dimensional axisymmetric homogeneous spheroidal matter and  $\delta$ -function-type ring matter. Our purpose is to investigate the generality of the hyper-hoop conjecture and the cosmic censorship conjecture in more general situations.

In this paper, we present two kinds of initial data; spheroidal and toroidal matter configurations. We solve the Hamiltonian constraint equation numerically, and then search apparent horizons. This study is the generalization of [17, 18]; we reproduce their results as our code checks, and present also finite-sized ring cases. The definition of the hyper-hoop is not yet definitely given in the community, so that we propose to define the hyper-hoop as a local minimum of the area by solving the Euler–Lagrange-type equation.

This paper is organized as follows. In the next section, we explain how to set initial data for five-dimensional space-time and how to search  $S^3$  and  $S^1 \times S^2$  apparent horizons and hoops. In section 3, we show numerical results. The final section is devoted to the summary and discussion. We use the unit  $c = 1$  and  $G_5 = 1$ , where  $c$  is the speed of light and  $G_5$  is the gravitational constant of the five-dimensional space-time.

## 2. Basic equations and numerical issues

### 2.1. The Hamiltonian constraint equation

We consider the initial data sequences on a four-dimensional space-like hypersurface. A solution of the Einstein equations is obtained by solving the Hamiltonian constraint equation if we assume the moment of time symmetry. We apply the standard conformal approach [22] to obtain the four-metric  $\gamma_{ij}$ . As was discussed in [23], in 4 + 1 space-time decomposition, the equations would be simplified with a conformal transformation

$$\gamma_{ij} = \psi^2 \hat{\gamma}_{ij}, \quad (2)$$

where  $\hat{\gamma}_{ij}$  is the trial base metric which we assume conformally flat:

$$ds^2 = \hat{\gamma}_{ij} dx^i dx^j = dx^2 + dy^2 + dz^2 + dw^2. \quad (3)$$

The Hamiltonian constraint equation, then, becomes

$$\hat{\Delta}\psi = -4\pi^2 G_5 \rho, \quad (4)$$

where  $\rho$  is the effective Newtonian mass density and  $G_5$  is the gravitational constant in five-dimensional theory of gravity. We numerically solve equation (4) in the upper-half coordinate region ( $x \geq 0, y \geq 0, z \geq 0, w \geq 0$ ) with setting the boundary conditions as

$$\nabla\psi = 0 \quad (\text{at inner boundaries}), \quad (5)$$

and

$$\psi = 1 + \frac{M_{\text{ADM}}}{r^2} \quad (\text{at outer boundaries}), \quad (6)$$

where

$$r = \sqrt{x^2 + y^2 + z^2 + w^2} \quad (7)$$

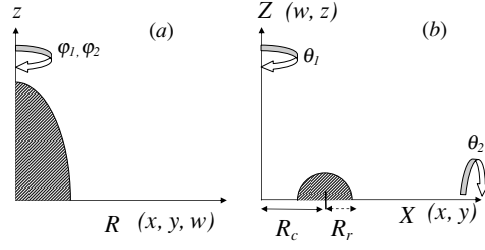
and  $M_{\text{ADM}}$  can be interpreted as the ADM mass of the matter. Practically, the boundary condition, (6), is replaced with

$$(\psi - 1)r^2 = \text{const.} \quad (8)$$

and we apply

$$\frac{\partial}{\partial x^i} [(\psi - 1)r^2] = 0 \quad (9)$$

on the outer edge of our numerical grid. The ADM mass  $M_{\text{ADM}}$ , then, is evaluated from equation (6).



**Figure 1.** Axis of symmetry of our models: (a) spheroidal (spindle) configuration, and (b) toroidal configuration. We consider the matter with uniform density. We adopt the coordinate as equation (10) for case (a), while we use equation (11) for case (b).

As is described below, we consider two models of the matter distribution: spheroidal and toroidal configurations. By assuming the axis of symmetry, both are reduced to effectively two-dimensional problems (figure 1). For the spheroidal matter (figure 1(a)), we use the metric

$$ds^2 = \psi(R, z)^2 [dR^2 + R^2(d\varphi_1^2 + \sin^2 \varphi_1 d\varphi_2^2) + dz^2] \quad (10)$$

where

$$R = \sqrt{x^2 + y^2 + z^2}, \quad \varphi_1 = \tan^{-1} \left( \frac{w}{\sqrt{x^2 + y^2}} \right) \quad \text{and} \quad \varphi_2 = \tan^{-1} \left( \frac{y}{x} \right).$$

For the toroidal case (figure 1(b)), on the other hand, we use the metric

$$ds^2 = \psi(X, Z)^2 (dX^2 + dZ^2 + X^2 d\vartheta_1 + Z^2 d\vartheta_2) \quad (11)$$

where

$$X = \sqrt{x^2 + y^2}, \quad Z = \sqrt{z^2 + w^2}, \\ \vartheta_1 = \tan^{-1} \left( \frac{y}{x} \right), \quad \text{and} \quad \vartheta_2 = \tan^{-1} \left( \frac{z}{w} \right).$$

By assuming that  $\varphi_1$  and  $\varphi_2$  ( $\vartheta_1$  and  $\vartheta_2$  for the toroidal case) are the angles around the axis of symmetry, the Hamiltonian constraint equation, (4), effectively becomes

$$\frac{\partial^2 \psi}{\partial R^2} + \frac{2}{R} \frac{\partial \psi}{\partial R} + \frac{\partial^2 \psi}{\partial z^2} = -4\pi^2 G_5 \rho, \quad (12)$$

and

$$\frac{1}{X} \frac{\partial}{\partial X} \left( X \frac{\partial \psi}{\partial X} \right) + \frac{1}{Z} \frac{\partial}{\partial Z} \left( Z \frac{\partial \psi}{\partial Z} \right) = -4\pi^2 G_5 \rho, \quad (13)$$

respectively. We solve (12) and (13) using the normal successive over-relaxation (SOR) method with red-black ordering. We use  $500^2$  grids for the range  $(R, z)$  or  $(X, Z) = [0, 10]$  with the tolerance  $10^{-6}$  for  $\psi$  for solving equations (12) and (13). The presenting results are the sequences of the constant  $M_{\text{ADM}}$  within the error  $O(10^{-2})$ .

## 2.2. Matter distributions

We model the matter by non-rotating homogeneous spheroidal and toroidal configurations with effective Newtonian uniform mass density. Our first model is the case with homogeneous

spheroidal matter, which is expressed as

$$\frac{x^2}{a^2} + \frac{y^2}{a^2} + \frac{w^2}{a^2} + \frac{z^2}{b^2} \leq 1, \quad (14)$$

where  $a$  and  $b$  are parameters. This is the  $(4 + 1)$ -dimensional version of the earlier study of Nakamura *et al* [4], and also the numerical reproduction of Ida and Nakao [17] and Yoo *et al* [18]. The second is the case with homogeneous toroidal matter configurations, described as

$$(\sqrt{x^2 + y^2} - R_c)^2 + (\sqrt{w^2 + z^2})^2 \leq R_r^2, \quad (15)$$

where  $R_c$  is the circle radius of torus, and  $R_r$  is the ring radius (figure 1(b)). This case is motivated from the ‘black-ring’ solution [5] though not including any rotations of matter nor of the space-time. Nevertheless, we consider this is the first step for toroidal configuration, since this is the generalization of [17] to the finite-sized matter cases.

### 2.3. Kretschmann invariant

After obtaining the initial data, we evaluate the Kretschmann invariant

$$\mathcal{I}^{(4)} = R_{abcd} R^{abcd}, \quad (16)$$

where  $R_{abcd}$  is the four-dimensional Riemann tensor, in order to measure the strength of gravity. This is most easily evaluated in Cartesian coordinates as

$$\begin{aligned} \mathcal{I}^{(4)} = & 16 \sum_{i \neq j} \left[ 2 \left( \frac{\partial \psi}{\partial x^i} \right) \left( \frac{\partial \psi}{\partial x^j} \right) - \psi \frac{\partial^2 \psi}{\partial x^i \partial x^j} \right]^2 \\ & + 8 \sum_{i \neq j} \left[ \left( \frac{\partial \psi}{\partial x^i} \right)^2 - \left( \frac{\partial \psi}{\partial x^j} \right)^2 \right]^2 + 4\psi^2 \sum_{i \neq j} \left[ \frac{\partial^2 \psi}{\partial x^{i^2}} + \frac{\partial^2 \psi}{\partial x^{j^2}} \right]^2 \\ & + 8\psi \left[ \sum_i \left( \frac{\partial \psi}{\partial x^i} \right)^2 \right] \left[ \sum_i \frac{\partial^2 \psi}{\partial x^{i^2}} \right] - 32\psi \sum_i \left( \frac{\partial \psi}{\partial x^i} \right)^2 \left( \frac{\partial^2 \psi}{\partial x^{i^2}} \right). \end{aligned}$$

### 2.4. Apparent horizons

For investigating the validity of the censorship conjecture and hyper-hoop conjecture, we search the existence of apparent horizons. An apparent horizon is defined as a marginally outer trapped surface, and the existence of the apparent horizon is the sufficient condition for the existence of the event horizon. On the four-dimensional space-like hypersurface, an apparent horizon is a three-dimensional closed marginal surface.

In order to locate the apparent horizon for the spheroidal configurations, after obtaining the solution of (12), we transform the coordinate from  $(R, z)$  to  $(r, \theta)$ , using

$$r = \sqrt{R^2 + z^2}, \quad (17)$$

$$\theta = \tan^{-1} \left( \frac{R}{z} \right), \quad (18)$$

and search the apparent horizon on the  $R$ - $z$  section [17, 18]. The location of the apparent horizon,  $r_M(\theta)$ , is identified by solving

$$\begin{aligned} \ddot{r}_M - \frac{4\dot{r}_M^2}{r_M} - 3r_M + \frac{r_M^2 + \dot{r}_M^2}{r_M} \left[ \frac{2\dot{r}_M}{r_M} \cot \theta - \frac{3}{\psi} (\dot{r}_M \sin \theta + r_M \cos \theta) \frac{\partial \psi}{\partial z} \right. \\ \left. + \frac{3}{\psi} (\dot{r}_M \cos \theta - r_M \sin \theta) \frac{\partial \psi}{\partial R} \right] = 0, \quad (19) \end{aligned}$$

where dot denotes  $\theta$ -derivative. We solve (19) for  $r_M(\theta)$  using the Runge–Kutta method starting on the  $z$ -axis ( $\theta = 0$ ) with a trial value  $r = r_0$  and integrate to  $\theta = \pi/2$ , with interpolating the coefficients  $\psi$  and  $\frac{\partial\psi}{\partial x^i}$  from the data on the grid points. We apply the symmetric boundary condition on the both ends. If there is no solution satisfying both boundary conditions, we judge there is no horizon.

For toroidal cases, we transform the coordinate from  $(X, Z)$  to  $(r, \phi)$ , using

$$r = \sqrt{X^2 + Z^2}, \quad \text{and} \quad \phi = \tan^{-1}\left(\frac{Z}{X}\right). \quad (20)$$

The location of the apparent horizon,  $r_m(\phi)$ , is then identified by solving

$$\begin{aligned} r_m \ddot{r}_m - 4 \frac{\dot{r}_m^2}{r_m} - 3r_m - \frac{r_m^2 + \dot{r}_m^2}{r_m} \left[ 2 \frac{\dot{r}_m}{r_m} \cot(2\phi) - \frac{3}{\psi} (r_m \sin \phi + r \cos \phi) \frac{\partial\psi}{\partial X} \right. \\ \left. + \frac{3}{\psi} (r_m \cos \phi - r \sin \phi) \frac{\partial\psi}{\partial Z} \right] = 0, \end{aligned} \quad (21)$$

with the symmetric boundary condition  $\dot{r} = 0$  at both  $\phi = 0$  and  $\pi/2$ . When the matter is in torus shape, an additional  $S^1 \times S^2$  apparent (ring horizon) horizon may exist. In order to find a ring horizon, we adopt the coordinate as

$$r = \sqrt{(X - R_c)^2 + Z^2}, \quad \text{and} \quad \xi = \tan^{-1}\left(\frac{Z}{X - R_c}\right). \quad (22)$$

This marginal surface is obtained by solving the equation for  $r(\xi)$ :

$$\begin{aligned} r_m \ddot{r}_m - \frac{3\dot{r}_m^2}{r_m} - 2r_m - \frac{r_m^2 + \dot{r}_m^2}{r_m} \times \left[ \frac{\dot{r}_m \sin \xi + r_m \cos \xi}{r_m \cos \xi + R_c} - \frac{\dot{r}_m}{r_m} \cot \xi \right. \\ \left. + \frac{3}{\psi} (r_m \sin \xi + r \cos \xi) \frac{\partial\psi}{\partial x} - \frac{3}{\psi} (r_m \cos \xi - r \sin \xi) \frac{\partial\psi}{\partial z} \right] = 0, \end{aligned} \quad (23)$$

where dot denotes  $\xi$ -derivative, with the symmetric boundary condition on the both ends at  $\xi = 0$  and  $\pi$ .

### 2.5. Area of horizons

From the obtained sequence of initial data, we calculate the surface area  $A_3$  of the apparent horizons. If the obtained horizon is spheroidal configuration, the surface area of the horizon,  $A_3$ , becomes

$$A_3^{(S)} = 8\pi \int_0^{\pi/2} \psi^3 r_M^2 \sin^2 \theta \sqrt{r_M^2 + \dot{r}_M^2} d\theta, \quad (24)$$

where dot denotes a  $\theta$ -derivative. As for the toroidal cases, the surface area of  $S^3$  and  $S^1 \times S^2$  apparent horizons become

$$A_3^{(T1)} = 4\pi^2 \int_0^{\pi/2} \psi^3 r_m^2 \cos \phi \sin \phi \sqrt{r_m^2 + \dot{r}_m^2} d\phi, \quad (25)$$

and

$$A_3^{(T2)} = 4\pi^2 \int_0^\pi \psi^3 (R_c + r_m \cos \xi) r_m \sin \xi \sqrt{r_m^2 + \dot{r}_m^2} d\xi, \quad (26)$$

where dot denotes a  $\phi$ -derivative and a  $\xi$ -derivative, respectively.

## 2.6. Hyper-hoop

We also calculate the hyper-hoop for the five-dimensional hoop-conjecture which is defined by a two-dimensional area. We try to verify the necessary condition of the black-hole formation examined in [18]:

$$V_2 \leq \frac{\pi}{2} 16\pi G_5 M. \quad (27)$$

However, the definition of  $V_2$  is not so far defined apparently. We, therefore, propose to define the hoop  $V_2$  as a surrounding two-dimensional area which satisfies the local minimum area condition,

$$\delta V_2 = 0. \quad (28)$$

When the area of the space-time outside the matter is expressed by a coordinate  $r$ , then equation (28) leads to the Euler–Lagrange-type equation for  $V_2(r, \dot{r})$ .

For the spheroidal configuration, we express the area  $V_2$  using  $r = r_h(\theta)$  as

$$V_2^{(A)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{\dot{r}_h^2 + r_h^2} r_h \sin \theta \, d\theta, \quad (29)$$

or

$$V_2^{(B)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{\dot{r}_h^2 + r_h^2} r_h \cos \theta \, d\theta, \quad (30)$$

where dot denotes a  $\theta$ -derivative.  $V_2^{(A)}$  expresses the surface area which is obtained by rotating with respect to the  $z$ -axis, while  $V_2^{(B)}$  is the one with  $R$ -axis rotation. Then the hyper-hoop  $V_2^{(A)}$  is derived by

$$\begin{aligned} \ddot{r}_h - \frac{3\dot{r}_h^2}{r_h} - 2r_h + \frac{r_h^2 + \dot{r}_h^2}{r_h} \left[ \frac{\dot{r}_h}{r_h} \cot \theta - \frac{2}{\psi} (r_h \sin \theta + r_h \cos \theta) \frac{\partial \psi}{\partial z} \right. \\ \left. - \frac{2}{\psi} (r_h \sin \theta - r_h \cos \theta) \frac{\partial \psi}{\partial R} \right] = 0, \end{aligned} \quad (31)$$

while the hyper-hoop  $V_2^{(B)}$  is derived by

$$\begin{aligned} \ddot{r}_h - \frac{3\dot{r}_h^2}{r_h} - 2r_h - \frac{r_h^2 + \dot{r}_h^2}{r_h} \left[ \frac{\dot{r}_h}{r_h} \tan \theta + \frac{2}{\psi} (r_h \sin \theta - r_h \cos \theta) \frac{\partial \psi}{\partial R} \right. \\ \left. + \frac{2}{\psi} (r_h \cos \theta + r_h \sin \theta) \frac{\partial \psi}{\partial z} \right] = 0. \end{aligned} \quad (32)$$

We search the location of the minimum  $V_2$  by solving (31) and (32), applying the same technique and the boundary conditions with those of horizons.

For the toroidal cases, the hoop is expressed using  $r = r_h(\phi)$  as

$$V_2^{(C)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{\dot{r}_h^2 + r_h^2} r_h \cos \phi \, d\phi, \quad (33)$$

or

$$V_2^{(D)} = 4\pi \int_0^{\pi/2} \psi^2 \sqrt{\dot{r}_h^2 + r_h^2} r_h \sin \phi \, d\phi. \quad (34)$$

$V_2^{(C)}$  expresses the surface area which is obtained by rotating with respect to the  $z$ -axis, while  $V_2^{(D)}$  is the one with  $x$ -axis rotation. Then, the minimum  $V_2^{(C)}$  satisfies the equation

$$\begin{aligned} \ddot{r}_h - \frac{3\dot{r}_h^2}{r_h} - 2r_h + \frac{r_h^2 + \dot{r}_h^2}{r_h} \left[ \frac{\dot{r}_h}{r_h} \cot \phi - \frac{2}{\psi} (r_h \sin \phi + r_h \cos \phi) \frac{\partial \psi}{\partial X} \right. \\ \left. - \frac{2}{\psi} (r_h \sin \phi - r_h \cos \phi) \frac{\partial \psi}{\partial Z} \right] = 0, \end{aligned} \quad (35)$$

















