filename="dualnull.tex" 2003-0403 Hisaaki Shinkai hshinkai@postman.riken.go.jp

Dual null formulation (and its Quasi-Spherical version)

This note is for actual coding of the double null formulation by Hayward [1, 2], expecially its quasi-spherical approximated version [3, 4].

Contents

1	1 Dual-null formulation (without conformal scaling)				
	1.1	metric		. 2	
1.2 Lie d			rivatives	. 2	
	1.3	Geometrical quantities			
	1.4	Full ve	ersion	. 3	
		1.4.1	Full set of Einstein equation 1	. 3	
		1.4.2	Full set of Einstein equation 2	. 4	
		1.4.3	HS notes: friendly expressions	. 4	
	1.5	Quasi-	-spherical version	. 5	
		1.5.1	Full set of Einstein equation 1	. 5	
		1.5.2	Full set of Einstein equation 2 (quasi-spherical approximation)	. 5	
		1.5.3	HS notes: friendly expressions	. 5	
2 Dual-null formulation (with conformal scaling)			formulation (with conformal scaling)	6	
	2.1	Introd	lucing the conformal decomposition	. 6	
	2.2	Full ve	ersion	. 6	
	2.3	Quasi-	-spherical version	. 6	
	2.4	HS no	$ ext{tes}$. 7	
3	3 Numerical Scheme for Quasi-Spherical approx. space-time				
4	4 Schwarzschild metric as an example				

1 Dual-null formulation (without conformal scaling)

1.1 metric

$$ds^{2} = h_{ij}(dx^{i} + p^{i}dx^{+} + m^{i}dx^{-})(dx^{j} + p^{j}dx^{+} + m^{j}dx^{-}) - 2e^{-f}dx^{+}dx^{-}$$
(1)

$$= h_{ij}dx^{i}dx^{j} + 2p_{l}dx^{l}dx^{+} + 2m_{l}dx^{l}dx^{-} + p_{l}p^{l}dx^{+2} + m_{l}m^{l}dx^{-2} + 2(p_{l}m^{l} - e^{-f})dx^{+}dx^{-}(2)$$

In the matrix form,

$$g_{ab} = \begin{pmatrix} p^2 & -e^{-f} + p_l m^l & p_j \\ -e^{-f} + p_l m^l & m^2 & m_j \\ p_i & m_i & h_{ij} \end{pmatrix}$$
(3)

and

$$g^{ab} = \begin{pmatrix} 0 & -e^{f} & e^{f}m^{i} \\ -e^{f} & 0 & e^{f}p^{i} \\ e^{f}m^{j} & e^{f}p^{j} & h^{ij} - e^{f}(p^{i}m^{j} + p^{j}m^{i}) \end{pmatrix}$$
(4)

1.2 Lie derivatives

We use Lie derivatives L_\pm along the null normal vectors

$$l_{\pm} = u_{\pm} - s_{\pm} = e^{-f} g^{-1}(n^{\mp}), \tag{5}$$

that is

$$l_{+}^{a} = u_{+}^{a} - p^{a} = (1, 0, 0, 0) - (0, 0, p^{1}, p^{2}) = (1, 0, -p^{1}, -p^{2})$$
(6a)

$$l_{-}^{a} = u_{-}^{a} - m^{a} = (0, 1, 0, 0) - (0, 0, m^{1}, m^{2}) = (0, 1, -m^{1}, -m^{2}).$$
 (6b)

The Lie derivative is defined in general as

$$\pounds_{\xi}S = \xi^{\alpha}\partial_{\alpha}S, \tag{7a}$$

$$\pounds_{\xi} V^{\mu} = \xi^{\alpha} \nabla_{\alpha} V^{\mu} - V^{\alpha} \nabla_{\alpha} \xi^{\mu} = \xi^{\alpha} \partial_{\alpha} V^{\mu} - V^{\alpha} \partial_{\alpha} \xi^{\mu}, \tag{7b}$$

$$\pounds_{\xi} U_{\mu} = \xi^{\alpha} \nabla_{\alpha} U_{\mu} + U_{\alpha} \nabla_{\mu} \xi^{\alpha}, = \xi^{\alpha} \partial_{\alpha} U_{\mu} + U_{\alpha} \partial_{\mu} \xi^{\alpha}, \tag{7c}$$

$$\pounds_{\xi} T^{\mu\nu} = \xi^{\alpha} \nabla_{\alpha} T^{\mu\nu} - T^{\alpha\nu} \nabla_{\alpha} \xi^{\mu} - T^{\mu\alpha} \nabla_{\alpha} \xi^{\nu} = \xi^{\alpha} \partial_{\alpha} T^{\mu\nu} - T^{\alpha\nu} \partial_{\alpha} \xi^{\mu} - T^{\mu\alpha} \partial_{\alpha} \xi^{\nu}, \qquad (7d)$$

$$\pounds_{\xi} W_{\mu\nu} = \xi^{\alpha} \nabla_{\alpha} W_{\mu\nu} + W_{\alpha\nu} \nabla_{\mu} \xi^{\alpha} + W_{\mu\alpha} \nabla_{\nu} \xi^{\alpha} = \xi^{\alpha} \partial_{\alpha} W_{\mu\nu} + W_{\alpha\nu} \partial_{\mu} \xi^{\alpha} + W_{\mu\alpha} \partial_{\nu} \xi^{\alpha}$$
(7e)

therefore for scalar, vector and tensor quantities,

$$L_{+}S = l_{+}^{a}\partial_{a}S = \partial_{x^{+}}S - p^{k}\partial_{k}S$$
(8a)

$$L_{-}S = l_{-}^{a}\partial_{a}S = \partial_{x^{-}}S - m^{k}\partial_{k}S$$
(8b)

$$L_{+}V^{a} = \partial_{x^{+}}V^{a} - p^{k}\partial_{k}V^{a} - V^{k}\partial_{k}p^{a}$$

$$(8c)$$

$$L_{-}V^{a} = \partial_{x^{-}}V^{a} - m^{k}\partial_{k}V^{a} - V^{k}\partial_{k}m^{a}$$
(8d)

$$L_{+}h_{ij} = \partial_{x^{+}}h_{ij} - p^{k}\partial_{k}h_{ij} + 2h_{k(i}\partial_{j)}p^{k}$$

$$(8e)$$

$$L_{-}h_{ij} = \partial_{x^{-}}h_{ij} - m^{k}\partial_{k}h_{ij} + 2h_{k(i}\partial_{j)}m^{k}$$
(8f)

1.3 Geometrical quantities

From the original expressions The fields $(\theta_{\pm}, \sigma_{\pm}, \nu_{\pm}, \omega)$ encode the extrinsic curvature of the dual-null foliation. These extrinsic fields are unique up to duality $\pm \mapsto \mp$ and diffeomorphisms which relabel the null hypersurfaces, i.e. $dx^{\pm} \mapsto e^{\lambda_{\pm}} dx^{\pm}$ for functions $\lambda_{\pm}(x^{\pm})$.

$$\theta_{\pm} = *L_{\pm}*1 \tag{9a}$$

$$\sigma_{\pm} = \perp L_{\pm}h - \theta_{\pm}h \tag{9b}$$

$$\nu_{\pm} = L_{\pm}f \tag{9c}$$

$$\omega = \frac{1}{2}e^f h([l_-, l_+]) \tag{9d}$$

where * is the Hodge operator of h_{ab} . The functions θ_{\pm} are the expansions, the traceless bilinear forms σ_{\pm} are the shears, the 1-form ω is the twist, measuring the lack of integrability of the normal space, and the functions ν_{\pm} are the inaffinities, measuring the failure of the null normals to be affine.

HS notes For more friendly expressions, these are

$$\theta_{\pm} = \frac{1}{\sqrt{\det h_{ij}}} L_{\pm} \sqrt{\det h_{ij}}$$
(10a)

$$\sigma_{\pm ab} = h_a^c h_b^d L_{\pm} h_{cd} - \theta_{\pm} h_{ab} \tag{10b}$$

$$\nu_{\pm} = L_{\pm}f$$

$$\omega_{a} = \frac{1}{2}e^{f}h_{ab}[l_{-}, l_{+}]^{b} = \frac{1}{2}e^{f}h_{ab}(l_{-}^{c}\nabla_{c}l_{+}^{b} - l_{+}^{c}\nabla_{c}l_{-}^{b})$$
(10c)

$$= \frac{1}{2}e^{f}h_{ab}(l_{-}^{c}\partial_{c}l_{+}^{b} - l_{+}^{c}\partial_{c}l_{-}^{b})$$
(10d)

More concrete,

$$\theta_{+} = \frac{1}{\sqrt{\det h_{ij}}} [\partial_{x^{+}} \sqrt{\det h_{ij}} - p^{k} \partial_{k} \sqrt{\det h_{ij}}]$$
(11a)

$$\theta_{-} = \frac{1}{\sqrt{\det h_{ij}}} [\partial_{x^{-}} \sqrt{\det h_{ij}} - m^k \partial_k \sqrt{\det h_{ij}}]$$
(11b)

$$\sigma_{+ab} = h_a^c h_b^d L_+ h_{cd} - \theta_+ h_{ab}$$

= $h_a^c h_b^d [\partial_{x^+} h_{cd} - p^k \partial_k h_{cd} + 2h_{k(c} \partial_{d)} p^k] - \theta_+ h_{ab}$ (11c)

$$\sigma_{-ab} = h_a^c h_b^d L_{-h_{cd}} - \theta_{-h_{ab}}$$

= $h^c h_b^d [\partial_{a-h_{cd}} - m^k \partial_b h_{ad} + 2h_{k(a} \partial_{ab} m^k] - \theta_{-h_{ab}}$ (11d)

$$\nu_{\perp} = L_{\perp} f = \partial_{r^{\perp}} f - p^{k} \partial_{\nu} f$$
(11e)

$$= \frac{1}{2} \int \frac{d^2 b}{dx} = \int \frac{d^2 b}$$

$$\omega_a = \frac{1}{2} e^J h_{ab} (\partial_{x^-} l^o_+ - m^\kappa \partial_k l^o_+ - \partial_{x^+} l^o_- + p^\kappa \partial_k l^o_-) \tag{11g}$$

1.4 Full version

1.4.1 Full set of Einstein equation 1

Inverting the definitions of the momentum fields yields the propagation equations

$$\perp (L_{+}s_{-} - L_{-}s_{+}) = 2e^{-f}h^{-1}(\omega) + [s_{-}, s_{+}]$$
(12a)

$$\perp L_{\pm}h = \theta_{\pm}h + \sigma_{\pm} \tag{12b}$$

$$L_{\pm}f = \nu_{\pm}. \tag{12c}$$

The full set of Einstein equations is obtained with the below.

1.4.2 Full set of Einstein equation 2

From Appendix B in [1] (with the current convention):

$$L_{+}\theta_{+} = -\nu_{+}\theta_{+} - \frac{1}{2}\theta_{+}^{2} - \frac{1}{4}h^{ac}h^{bd}\sigma_{+ab}\sigma_{+cd}$$
(13a)
$$L_{+}\theta_{-} = -\theta_{+}\theta_{-} + e^{-f}\left(-\frac{1}{2}\mathcal{R}_{+} + h^{ab}(\omega, \omega) - \frac{1}{2}D_{-}D_{+}f + \frac{1}{2}D_{-}fD_{+}f + \omega_{-}D_{+}f - D_{-}(\omega)\right)$$
(13b)

$$L_{+}\nu_{-} = -\frac{1}{2}\theta_{+}\theta_{-} + \frac{1}{4}h^{ac}h^{bd}\sigma_{+ab}\sigma_{-cd} + e^{-f}\left(-\frac{1}{2}\mathcal{R} + h^{ab}(3\omega_{a}\omega_{b} - \frac{1}{4}D_{a}fD_{b}f - \omega_{a}D_{b}f)\right)$$

$$L_{+}\nu_{-} = -\frac{1}{2}\theta_{+}\theta_{-} + \frac{1}{4}h^{ac}h^{bd}\sigma_{+ab}\sigma_{-cd} + e^{-f}\left(-\frac{1}{2}\mathcal{R} + h^{ab}(3\omega_{a}\omega_{b} - \frac{1}{4}D_{a}fD_{b}f - \omega_{a}D_{b}f)\right)$$

$$L_{+}\nu_{-} = -\frac{1}{2}\theta_{+}\theta_{-} + \frac{1}{4}h^{ac}h^{bd}\sigma_{+ab}\sigma_{-cd} + e^{-f}\left(-\frac{1}{2}\mathcal{R} + h^{ab}(3\omega_{a}\omega_{b} - \frac{1}{4}D_{a}fD_{b}f - \omega_{a}D_{b}f)\right)$$

$$\perp L_{+}\sigma_{-ab} = \frac{1}{2}(\theta_{+}\sigma_{-ab} - \theta_{-}\sigma_{+ab}) + h^{cd}\sigma_{+c(a}\sigma_{-b)d} + 2e^{-f}\left(\omega_{a}\omega_{b} - \frac{1}{2}D_{a}D_{b}f + \frac{1}{4}D_{a}fD_{b}f + \omega_{(a}D_{b)}f - D_{(a}\omega_{b)}\right) - e^{-f}h^{cd}\left(\omega_{c}\omega_{d} - \frac{1}{2}D_{c}D_{d}f + \frac{1}{4}D_{c}fD_{d}f + \omega_{c}D_{d}f - D_{c}\omega_{d}\right)h_{ab}$$
(13d)
$$\perp L_{+}\omega_{a} = -\theta_{+}\omega_{a} + \frac{1}{2}(D\nu_{+} - D\theta_{+} - \theta_{+}Df) + \frac{1}{2}h^{bc}D_{c}\sigma_{+ab}$$
(13e)

$$L_{+}\omega_{a} = -\theta_{+}\omega_{a} + \frac{1}{2}(D\nu_{+} - D\theta_{+} - \theta_{+}Df) + \frac{1}{2}h^{bc}D_{c}\sigma_{+ab}$$
(13e)

and

$$L_{-}\theta_{-} = -\nu_{-}\theta_{-} - \frac{1}{2}\theta_{-}^{2} - \frac{1}{4}h^{ac}h^{bd}\sigma_{-ab}\sigma_{-cd}$$
(14a)

$$L_{-}\theta_{+} = -\theta_{+}\theta_{-} + e^{-f} \left(-\frac{1}{2}\mathcal{R} + h^{ab}(\omega_{a}\omega_{b} - \frac{1}{2}D_{a}D_{b}f + \frac{1}{4}D_{a}fD_{b}f - \omega_{a}D_{b}f + D_{a}\omega_{b}) \right) (14b)$$

$$L_{-}\mu_{-} = -\frac{1}{2}\theta_{+}\theta_{-} + \frac{1}{2}h^{ac}h^{bd}\sigma_{+} + \sigma_{-} + e^{-f} \left(-\frac{1}{2}\mathcal{R} + h^{ab}(3\omega_{-}\omega_{-}) - \frac{1}{2}D_{-}fD_{-}f + \omega_{-}D_{-}f0 \right) (14b)$$

$$L_{-}\nu_{+} = -\frac{1}{2}\theta_{+}\theta_{-} + \frac{1}{4}h^{ac}h^{ac}\sigma_{+ab}\sigma_{-cd} + e^{-f}\left(-\frac{1}{2}\kappa + h^{ac}(3\omega_{a}\omega_{b} - \frac{1}{4}D_{a}fD_{b}f + \omega_{a}D_{b}f)\right)$$

$$\perp L_{-}\sigma_{+ab} = -\frac{1}{2}(\theta_{+}\sigma_{-ab} - \theta_{-}\sigma_{+ab}) + h^{cd}\sigma_{-c(a}\sigma_{+b)d}$$

$$+2e^{-f}\left(\omega_{a}\omega_{b} - \frac{1}{2}D_{a}D_{b}f + \frac{1}{4}D_{a}fD_{b}f - \omega_{(a}D_{b)}f + D_{(a}\omega_{b)}\right)$$

$$-e^{-f}h^{cd}\left(\omega_c\omega_d - \frac{1}{2}D_cD_df + \frac{1}{4}D_cfD_df - \omega_cD_df + D_c\omega_d\right)h_{ab}$$
(14d)

$$\perp L_{-}\omega_{a} = -\theta_{-}\omega_{a} - \frac{1}{2}(D\nu_{-} - D\theta_{-} - \theta_{-}Df) - \frac{1}{2}h^{bc}D_{c}\sigma_{-ab}$$
(14e)

where D is the covariant derivative of h_{ab} .

There is no $L_+\sigma_{+ab}$, $L_-\sigma_{-ab}$, $L_+\nu_+$, and $L_-\nu_-$.

In spherical symmetry, $(\sigma_{\pm}, \omega, D)$ vanish, while $(\theta_{\pm}, \nu_{\pm}, D_{\pm})$ generally do not.

1.4.3 HS notes: friendly expressions

For the equations in $\S1.4.1$

$$h_b^a \left(L_+ m^b - L_- p^b \right) = h_b^a \left(\partial_{x^+} m^b - p^k \partial_k m^b - m^k \partial_k p^b - \partial_{x^-} p^b + m^k \partial_k p^b + p^k \partial_k m^b \right)$$

$$= h_b^a \left(\partial_{x^+} m^o - \partial_{x^-} p^o \right) = 2e^{-J} h^{ao} \omega_b + m^c \partial_c p^a - p^c \partial_c m^a$$
(15a)

$$h_a^c h_b^d L_+ h_{cd} = h_a^c h_b^d (\partial_{x^+} h_{cd} - p^k \partial_k h_{cd} + 2h_{k(c} \partial_{d)} p^k) = \theta_+ h_{ab} + \sigma_{+ab}$$
(15b)

$$h_a^c h_b^d L_- h_{cd} = h_a^c h_b^d (\partial_{x^-} h_{cd} - m^k \partial_k h_{cd} + 2h_{k(c} \partial_{d)} m^k) = \theta_- h_{ab} + \sigma_{-ab}$$
(15c)

$$L_{+}f = \partial_{x^{+}}f - p^{k}\partial_{k}f = \nu_{+}$$
(15d)

$$L_{-}f = \partial_{x^{-}}f - m^{k}\partial_{k}f = \nu_{-}$$
(15e)

1.5 Quasi-spherical version

1.5.1 Full set of Einstein equation 1

Inverting the definitions of the momentum fields yields the propagation equations

$$\perp (L_+ s_- - L_- s_+) = 2e^{-f} h^{-1}(\omega) + [s_-, s_+]$$
(16a)

$$\perp L_{\pm}h = \theta_{\pm}h + \sigma_{\pm} \tag{16b}$$

$$L_{\pm}f = \nu_{\pm}. \tag{16c}$$

The full set of Einstein equations is obtained with the below (17a)-(17e). There is no $L_+\sigma_{+ab}$, $L_-\sigma_{-ab}$, $L_+\nu_+$, and $L_-\nu_-$.

1.5.2 Full set of Einstein equation 2 (quasi-spherical approximation)

After the truncations for quasi-spherical approximations,

$$L_{\pm}\theta_{\pm} = -\nu_{\pm}\theta_{\pm} - \frac{1}{2}\theta_{\pm}^2 \tag{17a}$$

$$L_{\pm}\theta_{\mp} = -\theta_{+}\theta_{-} - e^{-f}r^{-2}$$
(17b)

$$L_{\pm}\nu_{\mp} = -\frac{1}{2}\theta_{+}\theta_{-} - e^{-f}r^{-2}$$
(17c)

$$\perp L_{\pm}\sigma_{\mp} = \pm \frac{1}{2}(\theta_{+}\sigma_{-} - \theta_{-}\sigma_{+})$$
(17d)

$$\perp L_{\pm}\omega = -\theta_{\pm}\omega \pm \frac{1}{2}(D\nu_{\pm} - D\theta_{\pm} - \theta_{\pm}Df)$$
(17e)

where D is the covariant derivative of h_{ab} . In spherical symmetry, $(\sigma_{\pm}, \omega, D)$ vanish, while $(\theta_{\pm}, \nu_{\pm}, D_{\pm})$ generally do not.

1.5.3 HS notes: friendly expressions

For the equations in $\S1.5.2$

$$L_{\pm}\theta_{\pm} = \partial_{\pm}\theta_{\pm} - s_{\pm}^{k}\partial_{k}\theta_{\pm} = -\nu_{\pm}\theta_{\pm} - \frac{1}{2}\theta_{\pm}^{2} \quad \text{that is}$$

$$L_{+}\theta_{+} = \partial_{+}\theta_{+} - p^{k}\partial_{k}\theta_{+} = -\nu_{+}\theta_{+} - \frac{1}{2}\theta_{+}^{2} \quad (18a)$$

$$L_{-}\theta_{-} = \partial_{-}\theta_{-} - m^{k}\partial_{k}\theta_{-} = -\nu_{-}\theta_{-} - \frac{1}{2}\theta_{-}^{2}$$
(18b)

$$L_{\pm}\theta_{\mp} = \partial_{\pm}\theta_{\mp} - s_{\pm}^{k}\partial_{k}\theta_{\mp} = -\theta_{+}\theta_{-} - e^{-f}r^{-2} \quad \text{that is}$$

$$L_{\pm}\theta_{-} = \partial_{\pm}\theta_{-} - n^{k}\partial_{k}\theta_{-} = -\theta_{\pm}\theta_{-} - e^{-f}r^{-2} \quad (18c)$$

$$L_{+}\theta_{-} = \partial_{+}\theta_{-} - p \partial_{k}\theta_{-} = -\theta_{+}\theta_{-} - e^{-f}r^{-2}$$
(18d)

$$L_{\pm}\nu_{\mp} = \partial_{\pm}\nu_{\mp} - s_{\pm}^k \partial_k \nu_{\mp} = -\frac{1}{2}\theta_{\pm}\theta_{-} - e^{-f}r^{-2} \quad \text{that is}$$

$$L_{+}\nu_{-} = \partial_{+}\nu_{-} - p^{k}\partial_{k}\nu_{-} = -\frac{1}{2}\theta_{+}\theta_{-} - e^{-f}r^{-2}$$
(18e)

$$L_{-}\nu_{+} = \partial_{-}\nu_{+} - m^{k}\partial_{k}\nu_{+} = -\frac{1}{2}\theta_{+}\theta_{-} - e^{-f}r^{-2}$$
(18f)

$$h_a^c h_b^d L_{\pm} \sigma_{\mp cd} = h_a^c h_b^d (\partial_{x^-} \sigma_{\mp cd} - s_{\pm}^k \partial_k \sigma_{\mp cd} + 2\sigma_{\mp k(c} \partial_d) s_{\pm}^k)$$

$$= \pm \frac{1}{2} (\theta_+ \sigma_{-ab} - \theta_- \sigma_{+ab})$$
(18g)

$$h_{a}^{b}L_{\pm}\omega_{b} = h_{a}^{b}(\partial_{\pm}\omega_{b} - s_{\pm}^{k}\partial_{k}\omega_{b} + \omega_{k}\partial_{b}s_{\pm}^{k})$$

$$= -\theta_{\pm}\omega_{a} \pm \frac{1}{2}(D_{a}\nu_{\pm} - D_{a}\theta_{\pm} - \theta_{\pm}D_{a}f)$$

$$= -\theta_{\pm}\omega_{a} \pm \frac{1}{2}(\partial_{a}\nu_{\pm} - \partial_{a}\theta_{\pm} - \theta_{\pm}\partial_{a}f)$$
(18h)

2 Dual-null formulation (with conformal scaling)

2.1 Introducing the conformal decomposition

It is also possible to integrate all the way from \mathfrak{T}^- to \mathfrak{T}^+ by a conformal transformation.

We take the conformal decomposition of

$$h_{ab} = r^2 k_{ab},\tag{19}$$

such that

$$D_{\pm} \tilde{*} 1 = 0 \qquad (D_{\pm} \det k_{ab} = 0)$$
 (20)

where $\tilde{*}$ is the Hodge operator of k, satisfying $*1 = \tilde{*}r^2$.

The shear equations, composed into a second-order equation for k, become

$$\Delta k_{ab} = 0 \tag{21}$$

where Δ is the quasi-spherical wave operator:

$$\Delta \phi = -2e^{f} \left(L_{(+}L_{-})\phi + 2r^{-1}L_{(+}rL_{-})\phi \right)$$

= $-e^{f} \left(L_{+}L_{-}\phi + L_{-}L_{+}\phi + 2r^{-1}L_{+}rL_{-}\phi + 2r^{-1}L_{-}rL_{+}\phi \right)$ (22)

In practice, one may use the conformal factor

$$\Omega = r^{-1} \tag{23}$$

and the rescaled expansions and shears

$$\vartheta_{\pm} = r\theta_{\pm} \tag{24a}$$

$$\varsigma_{\pm} = r^{-1}\sigma_{\pm} \tag{24b}$$

which are finite and generally non-zero at \Im^{\mp} .

2.2 Full version

Not available here.

2.3 Quasi-spherical version

Of the dynamical fields and operators introduced above, $(s_{\pm}, \sigma_{\pm}, \omega, D)$ vanish in spherical symmetry, while $(h, f, \theta_{\pm}, \nu_{\pm}, \Delta_{\pm})$ generally do not. The quasi-spherical approximation consists of linearizing in $(s_{\pm}, \sigma_{\pm}, \omega, D)$, i.e. setting to zero any second-order terms in these quantities. This yields a greatly simplified truncation [3] of the full field equations, the first-order dual-null form of the vacuum Einstein system[2]. In particular, the truncated equations decouple into a three-level hierarchy, the last level being irrelevant to determining the gravitational waveforms. The remaining equations are the quasi-spherical equations

$$\Delta_{\pm}\Omega = -\frac{1}{2}\Omega^2\vartheta_{\pm},\tag{25}$$

$$\Delta_{\pm}f = \nu_{\pm}, \tag{26}$$

$$\Delta_{\pm}\vartheta_{\pm} = -\nu_{\pm}\vartheta_{\pm}\underbrace{-\frac{1}{4}\Omega||\varsigma_{\pm}||^{2}}_{2nd \ order},\tag{27}$$

$$\Delta_{\pm}\vartheta_{\mp} = -\Omega(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f}), \qquad (28)$$

$$\Delta_{\pm}\nu_{\mp} = -\Omega^2(\underline{1}_2\vartheta_+\vartheta_- + e^{-f}\underline{-\underline{1}_4\langle\varsigma_+,\varsigma_-\rangle}), \qquad (29)$$

2nd order

and the linearized equations

$$\Delta_{\pm}k = \Omega_{\varsigma_{\pm}}, \tag{30}$$

$$\Delta_{\pm}\varsigma_{\mp} = \Omega(\underbrace{\varsigma_{\pm} \cdot k^{-1} \cdot \varsigma_{-}}_{1st \ but \ missing \ in \ below} -\frac{1}{2}\vartheta_{\mp}\varsigma_{\pm}).$$
(31)

These are all ordinary differential equations; no transverse D derivatives occur. Thus we have an effectively two-dimensional system to be integrated independently at each angle of the sphere. The "2nd order" terms are pointed out in [5].

The initial-data formulation is based on a spatial surface S orthogonal to l_{\pm} and the null hypersurfaces Σ_{\pm} generated from S by l_{\pm} , assumed future-pointing. The initial data for the above equations are $(\Omega, f, k, \vartheta_{\pm})$ on S and $(\varsigma_{\pm}, \nu_{\pm})$ on Σ_{\pm} . We will take l_{\pm} and l_{\pm} to be outgoing and ingoing respectively.

For the quasi-spherical approximation to be valid near \Im^{\pm} , a modification of the integration scheme is suggested, such that initial data is given at spatial infinity i^0 rather than Σ . Specifically, one may fix $(\Omega, f, \vartheta_{\pm}, k) = (0, 0, \pm \sqrt{2}, \epsilon)$ at i^0 , where $\epsilon = d\vartheta \otimes d\vartheta + \sin^2 \vartheta d\varphi \otimes d\varphi$ is the standard metric of a unit sphere. The first step would then be to integrate backwards along \Im^- as far as Σ , fixing $\nu_+ = 0$ on Σ_+ . The remaining coordinate data is given by ν_- on the ingoing null hypersurface Σ_- , which is left free so that one may adapt the foliation of Σ_- to the surfaces which are most spherical.

2.4 HS notes

For scalar and tensor quantities:

$$\Delta_{\pm}S = \perp L_{\pm}S = \perp (\partial_{\pm}S - s_{\pm}^{k}\partial_{k}S) = \partial_{\pm}S - s_{\pm}^{k}\partial_{k}S$$

$$\Delta_{\pm}W_{ij} = \perp L_{\pm}W_{ij} = h_{i}^{a}h_{j}^{b}L_{\pm}W_{ab} = h_{i}^{a}h_{j}^{b}(\partial_{\pm}W_{ab} - s_{\pm}^{k}\partial_{k}W_{ab} + 2W_{k(a}\partial_{b)}s_{\pm}^{k})$$

Therefore we can write the evolution equations as the following:

$$\Delta_{\pm}\Omega = \partial_{\pm}\Omega - s_{\pm}^{k}\partial_{k}\Omega = -\frac{1}{2}\Omega^{2}\vartheta_{\pm} \quad \text{that is} \\ \partial_{\pm}\Omega = p^{k}\partial_{k}\Omega - \frac{1}{2}\Omega^{2}\vartheta_{\pm} \quad (32a)$$

$$\partial_{-}\Omega = m^{k}\partial_{k}\Omega - \frac{1}{2}\Omega^{2}\vartheta_{-} \tag{32b}$$

$$\Delta_{\pm}f = \partial_{\pm}f - s_{\pm}^{k}\partial_{k}f = \nu_{\pm} \quad \text{that is} \\ \partial_{\pm}f = p^{k}\partial_{\mu}f + \nu_{\pm} \tag{32c}$$

$$\partial_{+}f = p^{k}\partial_{k}f + \nu_{-}$$
(32d)

$$\Delta_{\pm}\vartheta_{\pm} = \partial_{\pm}\vartheta_{\pm} - s_{\pm}^{k}\partial_{k}\vartheta_{\pm} = -\nu_{\pm}\vartheta_{\pm} \quad \text{that is} \\ \partial_{\pm}\vartheta_{\pm} = p^{k}\partial_{k}\vartheta_{\pm} - \nu_{\pm}\vartheta_{\pm} \quad (32e)$$

$$\partial_{+}\partial_{+} = p \partial_{k}\partial_{+} - \nu_{+}\partial_{+}$$

$$\partial_{-}\partial_{-} = m^{k}\partial_{k}\partial_{-} - \nu_{-}\partial_{-}$$
(32f)

$$\Delta_{\pm}\vartheta_{\mp} = \partial_{\pm}\vartheta_{\mp} - s_{\pm}^{k}\partial_{k}\vartheta_{\mp} - \Omega(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f}) \quad \text{that is} \\ \partial_{+}\vartheta_{-} = p^{k}\partial_{k}\vartheta_{-} - \Omega(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f}) \quad (32g)$$

$$\partial_{-}\vartheta_{+} = m^{k}\partial_{k}\vartheta_{+} - \Omega(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f})$$
(32h)

$$\Delta_{\pm}\nu_{\mp} = \partial_{\pm}\nu_{\mp} - s_{\pm}^{k}\partial_{k}\nu_{\mp} - \Omega^{2}(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f}) \quad \text{that is}$$

$$\partial_{+}\nu_{-} = p^{\kappa}\partial_{k}\nu_{-} - \Omega^{2}(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-J})$$
(32i)

$$\partial_{-}\nu_{+} = m^{k}\partial_{k}\nu_{+} - \Omega^{2}(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f})$$
(32j)

$$\Delta_{\pm}k_{ij} = h_i^a h_j^b (\partial_{\pm}k_{ab} - s_{\pm}^k \partial_k k_{ab} + 2k_{k(a}\partial_{b)}s_{\pm}^k) = \Omega_{\varsigma\pm ij} \quad \text{that is} \\ h_i^a h_b^b \partial_{\pm}k_{ab} = h_i^a h_b^i (p^k \partial_k k_{ab} - 2k_{k(a}\partial_{b)}p^k) + \Omega_{\varsigma\pm ij} \quad (32k)$$

$$h_{i}^{a}h_{j}^{b}\partial_{-}k_{ab} = h_{i}^{a}h_{j}^{b}(m^{k}\partial_{k}k_{ab} - 2k_{k(a}\partial_{b)}m^{k}) + \Omega_{\varsigma-ij}$$
(321)

$$\Delta_{\pm}\varsigma_{\mp ij} = h_i^a h_j^b (\partial_{\pm}\varsigma_{\mp ab} - s_{\pm}^k \partial_k \varsigma_{\mp ab} + 2\varsigma_{\mp k(a} \partial_b) s_{\pm}^k) = -\frac{1}{2} \Omega \vartheta_{\mp} \varsigma_{\pm ij} \quad \text{that is}$$

$$h_{i}^{a}h_{j}^{o}\partial_{+}\varsigma_{-ab} = h_{i}^{a}h_{j}^{o}(p^{\kappa}\partial_{k}\varsigma_{-ab} - 2\varsigma_{-k(a}\partial_{b)}p^{\kappa}) - \frac{1}{2}\Omega\vartheta_{-}\varsigma_{+ij}$$
(32m)

$$h_i^a h_j^b \partial_{-\varsigma_{+ab}} = h_i^a h_j^b (m^k \partial_k \varsigma_{+ab} - 2\varsigma_{+k(a} \partial_{b)} m^k) - \frac{1}{2} \Omega \vartheta_{+\varsigma_{-ij}}$$
(32n)

Numerical Scheme for Quasi-Spherical approx. space-time 3

The variables are $(\Omega, f, \vartheta_+, \vartheta_-, \nu_+, \nu_-, k_{ab}, \varsigma_{+ab}, \varsigma_{-ab})$

- 1. Prepare initial data on Σ
 - Set metric components (f, k_{ab}) on Σ .
 - Set extrinsic curvature components (ϑ_{\pm}) on Σ .
- 2. Prepare data on Σ_{-}
 - Assume $m^a = 0$ on Σ_-
 - Set (ς_{-}, ν_{-}) on Σ_{-} .
 - Integrate $(\Omega, f, \vartheta_{\pm}, \nu_{+}, k_{ab}, \varsigma_{+ab})$ by Δ_{-} equations.

$$\partial_{-}\Omega = m^{k}\partial_{k}\Omega - \frac{1}{2}\Omega^{2}\vartheta_{-} \tag{33a}$$

$$\partial_{-}f = m^{k}\partial_{k}f + \nu_{-} \tag{33b}$$

$$\partial_{-}\vartheta_{-} = m^{k}\partial_{k}\vartheta_{-} - \nu_{-}\vartheta_{-} \tag{33c}$$

$$\partial_{-}\vartheta_{+} = m^{k}\partial_{k}\vartheta_{+} - \Omega(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f})$$
(33d)

$$\partial_{-}\nu_{+} = m^{k}\partial_{k}\nu_{+} - \Omega^{2}(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f})$$
(33e)

$$h_i^a h_j^b \partial_- k_{ab} = h_i^a h_j^b (m^k \partial_k k_{ab} - 2k_{k(a} \partial_{b)} m^k) + \Omega_{\varsigma_{-ij}}$$
(33f)

$$h_i^a h_j^b \partial_{-\varsigma_{+ab}} = h_i^a h_j^b (m^k \partial_k \varsigma_{+ab} - 2\varsigma_{+k(a} \partial_{b)} m^k) - \frac{1}{2} \Omega \vartheta_{+\varsigma_{-ij}}$$
(33g)

- 3. One step to go in U (from 0 to Δx^+ first time)
 - Assume $p^a = 0$ in U.
 - Set (ς_+, ν_+) on $\Sigma_+(\Delta x^+)$.
 - Integrate $(\Omega, f, \vartheta_{\pm}, \nu_{-}, k_{ab}, \varsigma_{-ab})$ by Δ_{+} equations.

$$\partial_{+}\Omega = p^{k}\partial_{k}\Omega - \frac{1}{2}\Omega^{2}\vartheta_{+} \tag{34a}$$

$$\partial_+ f = p^k \partial_k f + \nu_+ \tag{34b}$$

$$\partial_{+}\vartheta_{+} = p^{k}\partial_{k}\vartheta_{+} - \nu_{+}\vartheta_{+} \tag{34c}$$

$$\partial_{+}\vartheta_{-} = p^{k}\partial_{k}\vartheta_{-} - \Omega(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f})$$
(34d)
(34d)

$$\partial_{+}\nu_{-} = p^{k}\partial_{k}\nu_{-} - \Omega^{2}(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f})$$
(34e)

$$h_i^a h_j^b \partial_+ k_{ab} = h_i^a h_j^b (p^k \partial_k k_{ab} - 2k_{k(a} \partial_b) p^k) + \Omega_{\varsigma_+ ij}$$
(34f)

$$h_i^a h_j^b \partial_+ \varsigma_{-ab} = h_i^a h_j^b (p^b \partial_k \varsigma_{-ab} - 2\varsigma_{-k(a} \partial_b) p^k) - \frac{1}{2} \Omega \vartheta_- \varsigma_{+ij}$$
(34g)

- Note that we do not integrate ν_+ and ς_+ , that is we do not have them except on $x^+ = 0$ surface and on the Σ_+ surface. Therefore we have to use $\nu_+(x^+ = 0)$ and $\varsigma_+(x^+ = 0)$ in the above RHSs.
- 4. Integrate along l^- direction
 - Assume $m^a = 0$ in U.
 - Set (ς_+, ν_+) on $\Sigma_+(\Delta x^+)$.
 - Integrate (ν_+, ς_{+ab}) by Δ_- equations.

$$\partial_{-}\nu_{+} = m^{k}\partial_{k}\nu_{+} - \Omega^{2}(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f})$$
(35a)

$$h_i^a h_j^b \partial_{-\varsigma_{+ab}} = h_i^a h_j^b (m^k \partial_k \varsigma_{+ab} - 2\varsigma_{+k(a} \partial_{b)} m^k) - \frac{1}{2} \Omega \vartheta_{+\varsigma_{-ij}}$$
(35b)

where we need interpolate $\vartheta_{\pm}, \Omega, f, \varsigma_{-ij}$ in ODE solver, since they are only given on the grid points. To get the accurate solution, we have better to integrate these variables (except ς_{-}).

$$\partial_{-}\Omega = m^{k}\partial_{k}\Omega - \frac{1}{2}\Omega^{2}\vartheta_{-} \tag{36a}$$

$$\partial_{-}f = m^{k}\partial_{k}f + \nu_{-} \tag{36b}$$

$$\partial_{-}\vartheta_{-} = m^{k}\partial_{k}\vartheta_{-} - \nu_{-}\vartheta_{-} \tag{36c}$$

$$\partial_{-}\vartheta_{+} = m^{k}\partial_{k}\vartheta_{+} - \Omega(\frac{1}{2}\vartheta_{+}\vartheta_{-} + e^{-f})$$
(36d)

As for ς_{-} and ν_{-} , we have to interpolate them from the grid points.

• In order to check the accuracy, we also integrate (k_{ab}) and compare it with the results of step 2.

$$h_i^a h_j^b \partial_- k_{ab} = h_i^a h_j^b (m^k \partial_k k_{ab} - 2k_{k(a} \partial_{b)} m^k) + \Omega \varsigma_{-ij}$$

$$\tag{37}$$

- 5. check the accuracy
 - If bad, then go back to the step 2. Integrate equations using the obtained $\nu_+(\Delta x^+)$ and $\varsigma_+(\Delta x^+)$ together with $\nu_+(0)$ and $\varsigma_+(0)$ with their linear interpolations.
 - If good, then fix the values $\nu_+(\Delta x^+)$ and $\varsigma_+(\Delta x^+)$ and go to the step 2 for the integration from Δx^+ to $2\Delta x^+$.

4 Schwarzschild metric as an example

We are using the metric with the form

$$ds^{2} = \Omega^{-2}k_{ij}(dx^{i} + p^{i}dx^{+} + m^{i}dx^{-})(dx^{j} + p^{j}dx^{+} + m^{j}dx^{-}) - 2e^{-f}dx^{+}dx^{-}$$
(38)

Schwarzschild metric is

$$ds^{2} = -(1 - \frac{2m}{r})dt^{2} + \frac{dr^{2}}{1 - \frac{2m}{r}} + r^{2}(d\theta^{2} + \sin^{2}\theta d\phi^{2})$$
(39a)

$$= (1 - \frac{2m}{r})[-dt^2 + dr_*^2] + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$
(39b)

$$= -(1 - \frac{2m}{r})2dudv + r^{2}(d\theta^{2} + \sin^{2}\theta d\phi^{2})$$
(39c)

where

$$r_* = r + 2m\ln(\frac{r}{2m} - 1), \qquad \frac{dr}{dr_*} = 1 - \frac{2m}{r}$$
 (40)

and

$$u = \frac{1}{\sqrt{2}}(t - r_*), \qquad v = \frac{1}{\sqrt{2}}(t + r_*).$$
 (41)

Immediately we obtain

$$\Omega = r^{-1} \tag{42a}$$

$$k_{ij} = diag(1, \sin^2 \theta) \tag{42b}$$

$$f = -\ln\left(1 - \frac{2m}{r}\right) \tag{42c}$$

$$m^i = p^i = 0 \tag{42d}$$

Take $\partial_+ = \partial_v, \partial_- = \partial_u$, then

$$\theta_{\pm} = \pm \frac{\sqrt{2}}{r} \left(1 - \frac{2m}{r}\right) \quad \text{that is} \\ \theta_{\pm} = \pm \sqrt{2} \left(1 - \frac{2m}{r}\right) \tag{43a}$$

$$\nu_{\pm} = \mp \sqrt{2} \frac{m}{r^2} \tag{43b}$$

$$\sigma_{\pm ab} = -\theta_{\pm} \begin{pmatrix} 1 & 0 \\ 0 & \sin^2 \theta \end{pmatrix} \quad \text{that is} \\ \varsigma_{\pm ab} = -\Omega \theta_{\pm} \begin{pmatrix} 1 & 0 \\ 0 & \sin^2 \theta \end{pmatrix}$$
(43c)

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